

## Application for Registration as an Upper Tier Carrier/Broker of, or Dealer in, controlled waste

Please read the Guidance Notes before completing this form.  
Additional sheets of information can be attached to your application if required.

1.

Indicate choice of registration:	Tick		Tick
Broker		Broker/Carrier	
Carrier		Dealer/Carrier	
Dealer			

2.

Type of Business eg: Building, Waste Disposal/Recycling:			
Is the application being made by:		Tick	
an individual/sole trader			(complete sections 2, 3 and 5, then 7, 8, 9 & 10)
a partnership or prospective partner (see note1)			(complete sections 3 (and/or 4) and 5 then 7, 8, 9 & 10)
a limited company			(complete sections 5 and 6, then 7, 8, 9 & 10)
other (eg Trust, etc)			(complete sections 5 and 6, then 7, 8, 9 & 10)

3.

For an <b>INDIVIDUAL</b> applicant please give:	
full name, surname first and all forenames (incl title)	
date of birth	
former name(s)	
"trading as" or business name	
any former "trading as" or business name(s)	

4.

Please give <b>PARTNERSHIP</b> name and for each partner complete below: (see note 1)	
partnership or "trading as" name	
<b>Applicant</b>	
full name, surname first and all forenames (incl title)	
date of birth	
former name(s)	
<b>Other Partner(s)</b>	
full name, surname first and all forenames (incl title)	
date of birth	
former name(s)	
full name, surname first and all forenames (incl title)	
date of birth	
former name(s)	

5.

For <b>ALL APPLICANTS</b> please give full correspondence address:			
Postcode		Council Area eg: Newtownabbey, Castlereagh	
telephone number			
fax number			
e-mail			
For the attention of (Mr/Mrs/Miss/other)			
And if <b>DIFFERENT</b> from the above, please give full business address:			
		Postcode	
telephone number			
fax number			
e-mail			

6.

For <b>REGISTERED COMPANIES</b> including those registered under the Companies (NI) Order 1986, please give:			
Company name			
Company registration number			
Address of registered office			
Postcode		Council Area eg: Newtownabbey, Castlereagh	
Any former company name(s)			
For each Director or similar officer and the Company Secretary please give:			
full name, surname first and all forenames			
position held within the company			
Date of birth			
full correspondence address			
		Postcode	
full name, surname first and all forenames			
position held within the company			
Date of birth			
full correspondence address			
		Postcode	
full name, surname first and all forenames			
position held within the company			
Date of birth			
full correspondence address			
		Postcode	

7.

<p>Has the applicant or another relevant person been convicted of any offence listed in the Controlled Waste (Registration of carriers and Seizure of Vehicles) Regulations (Northern Ireland) 1999? (see <i>Notes 2 and 3</i>):          NB. Failure to Declare this information will result in any registration issued being revoked.</p>		
Please tick the relevant box	Yes	No
<p>If yes, please give full details of each offence below:</p>		
full name, surname first and all forenames		
position held within the company		
date of conviction		
name of court		
offence and penalty imposed		
full name, surname first and all forenames		
position held within the company		
date of conviction		
name of court		
offence and penalty imposed		
<p>If details have been given use this section to provide the Department with any additional information which you wish to be taken into account in determining whether or not it is desirable for the applicant to be authorised to transport controlled waste</p>		

8.

<p>Is the applicant or another company in the same group (within the meaning of Article 55(1) of the Companies (Northern Ireland) Order 1990) the holder of a waste disposal or waste management licence?</p>		
Please tick the relevant box	Yes	No
<p>If yes, please give full details of the licence below:</p>		
full name of licence holder		
date of birth ( <i>if an individual</i> )		
name of authority which issued the licence		
date of issue		
licence reference number		

9.

If the applicant has previously been / or is a registered carrier in Great Britain please give:	
Registration number(s)	
Name of Registration Authority or Authorities	

10.

For the purpose of registration we will require <b>one</b> of the following <u>original</u> Documents:	
utility bill ( <i>which must be in the name of, or for Premises used by the applicant</i> )	
bank statement ( <i>if possible for a Business Account</i> )	

**The above will be returned with your acknowledgement letter once verified.**

Signature: _____	Date _____
Position Held: _____	
Signature: _____	Date _____
Position Held: _____	
Signature: _____	Date _____
Position Held: _____	
Signature: _____	Date _____
Position Held: _____	
Signature: _____	Date _____
Position Held: _____	

**Declaration: The maximum penalty for making a false declaration is £2000 or 2 years imprisonment**  
**I declare I checked the information given in this application and that it is true to my knowledge, information and belief. I understand that the renewal of registration may be refused (or the registration may be revoked at a later date) if false or incomplete information is found to have been given.**

## Guidance Notes

1. In the case of a partnership, each partner must sign the application form. Only one fee is required for a partnership.
- 2.(i) Details of an offence listed in the Controlled Waste (Registration of Carriers and Seizure of Vehicles) Regulations (Northern Ireland) 1999 must be given if the applicant was convicted of the offence or if the person convicted of the offence ("the relevant person")-
  - (a) committed it in the course of his employment by the applicant;
  - (b) committed it in the course of the carrying on of any business by a partnership, one of the members of which was the applicant;
  - (c) was a body corporate and at the time when the offence was committed the applicant was a director, manager, secretary or other similar officer of that body;
  - (d) was a director, manager, secretary or other similar officer of the applicant (where the applicant is a body corporate);
  - (e) was a body corporate and at the time when the offence was committed a director, manager, secretary or other similar officer of the applicant held such an office in the body corporate which committed the offence.
- (ii) Details of a conviction need not be given where under the terms of the Rehabilitation of Offenders (Northern Ireland) Order 1978 the conviction is spent.
3. The offences listed in the Controlled Waste (Registration of Carriers and Seizure of Vehicles) Regulations (Northern Ireland) 1999 are offences under any of the following provisions:-
  - Section 114 of the Public Health (Ireland) Act 1878;
  - Section 17(3) of the Transport Act (Northern Ireland) 1967;
  - Section 98(3) and (4) of the Local Government Act (Northern Ireland) 1972; Section 5, 9(10), and 22 of the Water Act (Northern Ireland) 1972;
  - Articles 7 and 9(4) of The Water (NI) Order 1999;
  - Articles 5, 7(4), 16(4), 18(2), 29, 38(4), 56 and 72(3) of the Pollution Control and Local Government (Northern Ireland) Order 1978;
  - Article 23(1) of the Clean Air (Northern Ireland) Order 1981;
  - Section 9(1) of the Food and Environment Protection Act 1985;
  - The Merchant Shipping (Prevention of Pollution by Garbage) Regulations 1988; The Transfrontier Shipment of Waste Regulations 2007;
  - Article 23(1) of the Industrial Pollution Control (Northern Ireland) Order 1997;

## Guidance Notes

Articles 4, 5(8), 18, 22(6), 27(5), 28(5) and (6), 31(2), 38, 42, 43(9), 44(2) and 74 of the Waste and Contaminated Land (Northern Ireland) Order 1997;

Regulation 43 of the Hazardous Waste Regulations (Northern Ireland) 2005.

The Pollution Prevention and Control Regulations (Northern Ireland) 2003

Regulation 2 (m) of the Waste Management Licensing Regulations (NI) 2003

Regulations 22 & 24 & Schedule 3, Part 1, Regulation 12(8) of the Waste Regulations (NI) 2011

4. The requirement to register applies to any “establishment or undertaking”. As a body corporate each company in a group of companies is an establishment for the purposes of regulation 22 and each company must separately apply where necessary for registration.
5. (i) Where appropriate the fee of £129.00 must be sent with the application, otherwise the Department will refuse to accept the application.  
  
(ii) Cheques must be made payable to the **Department of the Environment** and crossed “**A/C Payee**”.  
  
(iii) Incomplete or unsigned applications will not be accepted.  
  
(iv) Completed applications should be sent to the address listed below.  
  
(v) If you have difficulty in completing the application form, please seek advice using the telephone or fax numbers below.

**Registration of Carriers Section  
Northern Ireland Environment Agency  
Land & Resource Management  
Cromac Avenue  
Gasworks Business Park  
Belfast BT7 2JA**

**Telephone: 028 9056 9360**

**Fax: 028 9056 9376**

**Under the Waste and Contaminated Land (NI) Order 1997, any person who is not a registered carrier of controlled waste, and who in the course of any business or otherwise with a view to profit, transports controlled waste to or from any place in Northern Ireland shall be guilty of an offence which carries a fine of up to £5,000.**



Northern Ireland Environment Agency  
Klondyke Building  
Cromac Avenue  
Gasworks Business Park  
Belfast BT7 2JA  
T. 0845 302 0008

[www.ni-environment.gov.uk](http://www.ni-environment.gov.uk)

Our aim is to protect, conserve and promote the natural and built environment for the benefit of present and future generations.

