

NIEA (WMU) Cross Compliance Inspection Report Form 2009 CC2

Section 1 – GENERAL DETAILS

1 Business Name and Postal Address

2 Business ID

3 Phone Number

Mobile Number (Optional)

4 Type of inspection: 1, 2 or 3

Farm Business details

	Yes	Area
5 Set Aside requirement?	<input type="checkbox"/>	<input type="checkbox"/>
6 Cross compliance inspection?	<input type="checkbox"/>	
7 Special Entitlements?	<input type="checkbox"/>	
8 LFACA applied for?	<input type="checkbox"/>	
9 Cattle herd number	<input style="width: 250px; height: 20px;" type="text"/>	
10 10 month end date (1)	<input style="width: 250px; height: 20px;" type="text"/>	
10 month end date (2)	<input style="width: 250px; height: 20px;" type="text"/>	

Business ID Business Name

Section 2 – INSPECTION DETAILS

2.1 Date of inspection On-farm start time On-farm end time

2.2 Was the inspection announced? Yes No

2.3 If Yes, how much notice was given?
0–3 hrs 3–24 hrs 24–48 hrs 48 hrs-1 wk 1 wk-2 wk

2.4 If more than 2 weeks notice was given, state reason(s)

Section 3: ENVIRONMENTAL STATUTORY MANAGEMENT REQUIREMENTS (SMRs)

N.B. Please refer to the "Guidance for field staff" when completing Section 3

SMR 1: CONSERVATION OF WILD BIRDS

3.1.1. NIEA use only Is any of the applicant's land within an Area of Special Scientific Interest (ASSI) which has also been designated a SPA? **Yes** **No**

If No, **GO TO QUESTION 3.1.4:**

3.1.2. Is there evidence of operations or activities specified in the ASSI notification as likely to damage the interests of the Natura 2000 site (SPA) which have not been notified to NIEA and consented to? **Yes** (give details) **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Min (letter)*
- e. Repeat (if known):** Yes No
- f. Follow-up required?** Yes No
- g. If applicable, date that breach is to be rectified by:** _____
- h. Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.1.3. Is there evidence that there has been non-compliance with the terms of any management agreements in this Natura 2000 site (SPA) (this includes agreements under DARD agri-environment schemes)? (**N.B.** Management Notices and restoration orders are not covered by this question and will be dealt with by CDP)

Yes (give details) **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Min (letter)*
- e. Repeat (if known):** Yes No
- f. Follow-up required** Yes No
- g. If applicable, date that breach is to be rectified by:** _____
- h. Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.1.4. Is there evidence of the killing, injuring or capture of wild birds without a licence in authorised circumstances? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.1.5. Is there evidence of destruction, damage, removal or keeping of nests, destruction of eggs or disturbance of wild birds whilst nesting without a licence in authorised circumstances? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

SMR 2: CONSERVATION OF NATURAL HABITATS & OF WILD FLORA AND FAUNA
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3.2.1. NIEA use only Is any of the applicants land within an ASSI which has also been designated a SAC? **Yes** **No**

If No, **GO TO QUESTION 3.2.4:**

3.2.2. Is there evidence of operations or activities specified in the ASSI notification as likely to damage the interests of the Natura 2000 site (SAC) which have not been notified to NIEA and consented to? **Yes** (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Min (letter)*
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.2.3. Is there evidence that there has been non-compliance with the terms of any management agreements in this Natura 2000 site (SAC) (this includes agreements under DARD agri-environment schemes)? (**N.B.** Management Notices and restoration orders are not covered by this question and will be dealt with by CDP). **Yes** (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Min (letter)*
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.2.4 Is there evidence of the destruction, collection, cutting or uprooting of European protected plant species with out a licence? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

SMR 3: PROTECTION OF GROUNDWATER AGAINST POLLUTION

3.3.1. NIEA use only Is the farmer disposing of List I or List II substances (see Appendix 1), such as spent sheep dip or waste pesticides (including washings), on his land in a manner which requires a groundwater authorisation? (N.B. does not include spraying waste pesticide back on target crop or re-used pesticide washings)

Yes No

If No, give details and **GO TO QUESTION 3.3.5:**

3.3.2. Has the farmer obtained a Groundwater Authorisation?

Yes No

If No, give details and **GO TO QUESTION 3.3.5:**

- a. Intention: Intentional Negligence
- b. Extent: Off-farm On-farm
- c. Permanence: Permanent Rectifiable
- d. Severity: High Medium Minimum
- e. Repeat (if known): Yes No
- f. Follow-up required Yes No
- g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.3.3. Has the farmer met the conditions of the Groundwater Authorisation?

Yes No (give details)

- a. Intention: Intentional Negligence
- b. Extent: Off-farm On-farm
- c. Permanence: Permanent Rectifiable
- d. Severity: High Medium Minimum
- e. Repeat (if known): Yes No
- f. Follow-up required Yes No
- g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.3.4. Does the farmer have written records detailing any disposals carried out in the last 4 years? **Yes** **No** (give details)

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.3.5. Is there evidence of groundwater pollution / risk of groundwater pollution due to the siting, operation and / or maintenance of a sheep dipper?

Yes (give details) **No** **N/A**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.3.6. Is there evidence of the pollution of groundwater by a List I or II substance such as pesticides, sheep dip or oil? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.3.7. Has the farmer failed to comply with the conditions of a Notice served under the Groundwater Regulations for List I or II substances?

Yes (give details) No N/A

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

d. **Severity:** High Medium

e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

SMR 4: PROTECTION OF THE ENVIRONMENT WHEN SEWAGE SLUDGE IS USED IN AGRICULTURE

3.4.1. NIEA use only Is the farmer applying septic tank sludge and/ or sewage sludge to land? **Yes** **No**

If No, give details of how septic tank sludge is disposed of and **GO TO SMR 5:**

3.4.2. Is there evidence of animals being grazed or forage crops harvested on application area within three weeks from the date of the use? **Yes** (give details) **No**

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

d. **Severity:** High Medium

e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.3. Is there evidence of fruit and vegetable crops grown in direct contact with the soil and normally eaten raw being harvested from the application area within ten months of the date of the use? **Yes** (give details) **No**

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

d. **Severity:** High Medium

e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.4. Has untreated septic tank sludge or untreated sewage sludge (if applied without injection) been incorporated into the soil as soon as practicable?

Yes No (give details)

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.5. NIEA use only Is the farmer applying sewage sludge (not from septic tank) to land?

Yes No

If No, GO TO SMR 5:

3.4.6. NIEA use only Has the sewage sludge been applied by, or on behalf of, the producer?

Yes No

If Yes, give details (e.g. name of sludge producer and spreading contractor) and GO TO QUESTION 3.4.8:

3.4.7. Has the farmer provided information to the sludge producer on the address and area of the agricultural unit concerned, application date, quantity of sludge applied and details of sludge supplier (if different from sludge producer) and quantity of sludge supplied?

Yes No (give details)

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.8 (a) (APPLICABLE FROM 2010 ONWARDS) Is there evidence that sewage sludge has been used without being tested in accordance with the Regulations?

Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.8. Is there evidence that the farmer caused, or knowingly permitted, the average annual rate of addition to land by means of sewage sludge application of any of the elements listed in the sludge table (see Appendix 2) to exceed the specified limit?

Yes (give details) No

i. Intention: Intentional Negligence

j. Extent: Off-farm On-farm

k. Permanence: Permanent Rectifiable

l. Severity: High Medium

m. Repeat (if known): Yes No

n. Follow-up required Yes No

o. If applicable, date that breach is to be rectified by: _____

p. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.9 (a) (APPLICABLE FROM 2010 ONWARDS) Is there evidence that sewage sludge has been applied without soil being tested in accordance with the Regulations?

Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.9. Is there evidence that the farmer caused, or knowingly permitted, the concentration in the soil of any of the elements listed in the soil table (see Appendix 2) to exceed the specified limit either at the time of using sewage sludge or as a result of applying it? **Yes** (give details) **No**

i. Intention: Intentional Negligence

j. Extent: Off-farm On-farm

k. Permanence: Permanent Rectifiable

l. Severity: High Medium

m. Repeat (if known): Yes No

n. Follow-up required Yes No

o. If applicable, date that breach is to be rectified by: _____

p. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.10. Is there evidence that the farmer caused, or knowingly permitted, sewage sludge to be applied to soil with pH below pH 5? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.11. Did the farmer apply, or knowingly permit, application of sewage sludge to land where fruit or vegetable crops (other than fruit trees) were being grown or harvested in the soil at the time of application? **Yes** (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.12. Has the farmer ensured that sewage sludge been used in a manner taking account of the nutrient needs of plants? Yes No (give details)

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.13. Is there evidence that the farmer caused, or knowingly permitted, incorrect application of sewage sludge so that soil quality has been impaired? Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.14. Is there evidence that the farmer caused, or knowingly permitted, incorrect application of sewage sludge so that surface water quality has been impaired? Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.15. Is there evidence that the farmer caused, or knowingly permitted, incorrect application of sewage sludge so that groundwater quality has been impaired?

Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.16. NIEA use only Is the farmer the sewage sludge producer (not septic tank sludge)?

Yes No

If No, give details (e.g. name of sludge producer) and **GO TO SMR 5:**

3.4.17. Has the sewage sludge been analysed before application, in accordance with Schedule 1 of the Regulations (see Appendix 2) at least every 6 months?

Yes No (give details)

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.4.18. Has soil within the application area been tested or assessed in accordance with Schedule 2 of the Regulations (see Appendix 2)? **Yes** **No** (give details)

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

SMR 5: PROTECTION OF WATER AGAINST NITRATE POLLUTION**IS DEROGATION APPLICABLE?**

3.5.1. NIEA use only In 2007 was at least 70% of the agricultural area of the holding under grass? (this question helps you establish eligibility for derogation so that you know if you are checking the records for compliance of the 170 kg N/ha/yr limit or the 250 kg N/ha/yr limit) If **NO**, they cannot avail of the derogation in 2007 and will have to comply with the 170 kg N/ha/yr limit
Yes **No**

3.5.2. NIEA use only In 2008 did this farm have an approved derogation? (NB if yes, you will need to consider this in relation to the questions relating to the derogation) **Yes** **No**

CLOSED SPREADING PERIODS

3.5.3. Has chemical fertiliser been applied to grassland, or to other crops without crop requirement demonstrated, during period 15 September – 31 January?
Yes (give details) **No**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.4. Has organic manure (excluding farmyard manure and dirty water) been applied during period 15 October – 31 January? NB Only effective from the date storage is in place or 1 January 2009, whichever is the sooner.
Yes (give details) **No** **N/A**

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.5. On derogated farms in 2008 or 2009 or 2010, where the fertilisation plan indicated a proposal to disturb soil as part of grass cultivation, was there application to land of any organic manures, including farmyard manure and dirty water, during the period 15 October - 31 January? **Yes** (give details) **No** **N/A**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

POLLUTION PREVENTION REQUIREMENT

3.5.6. Has the Controller, knowingly or otherwise, allowed N fertiliser (including dirty water) to directly or indirectly enter a waterway or water contained in underground strata? **Yes** (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

LAND APPLICATION RESTRICTIONS

3.5.7. Has nitrogen fertiliser (including dirty water) been applied to land in an inaccurate and non-uniform manner? **Yes** (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** Medium Minimum
- e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.8. Has nitrogen fertiliser (including dirty water) been applied to steeply sloping land in circumstances that present a significant risk of causing water pollution?

Yes (give details) No

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

d. **Severity:** High Medium Minimum

e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.9. Has nitrogen fertiliser (including dirty water) been applied to ground that is waterlogged, flooded, frozen (12 hrs or more in preceding 24 hrs), snow-covered or if heavy rainfall is forecast within 48 hours?

Yes (give details) No

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

d. **Severity:** High Medium Minimum

e. **Repeat (if known):** Yes No

f. **Follow-up required** Yes No

g. **If applicable, date that breach is to be rectified by:** _____

h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.10. Has nitrogen fertiliser (including dirty water) been applied in a location or manner which makes it likely it will directly contaminate waterways and/or water contained in underground strata?

Yes (give details) No

a. **Intention:** Intentional Negligence

b. **Extent:** Off-farm On-farm

c. **Permanence:** Permanent Rectifiable

- d. **Severity:** Minimum Min (letter)*
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
Detail any breach at Annex 1

3.5.11. Has chemical fertiliser been applied within 1.5m of any waterway?

Yes (give details) No

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
Detail any breach at Annex 1

3.5.12. Has organic manure (including dirty water) been applied within: 10m of any waterway other than lakes*; 20m of lakes; 50m of a borehole, spring or well; 250m of a borehole used for a public water supply; 15m of exposed cavernous or karstified limestone features? (*see inspectors guidance)

Yes (give details) No

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
Detail any breach at Annex 1

3.5.13. Has solid organic manure, or slurry, or dirty water been applied at rates in excess of those stipulated in the Regulations and has not enough time been left between applications? **Yes** (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.14. Has slurry or dirty water been applied via an unapproved method?

Yes (give details) **No**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

NITROGEN (N) FERTILISER CROP REQUIREMENT

3.5.15. On all farms in 2007 and non-derogated farms in 2008 or 2009 or 2010, has total available N Fertiliser, in organic manures (excluding livestock manure) and chemical fertiliser, been applied to grassland in excess of crop requirement? (*i.e. specified amounts in Schedule 1, Table 4*) **Yes** (give details) **No** **N/A**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.16. On derogated farms in 2008 or 2009 or 2010, has total available N Fertiliser in organic manures (excluding livestock manures) and chemical fertiliser been applied to grassland in excess of crop requirement? (*i.e. 272kg N/ha/yr on dairy farms and 222 kg N/ha/yr on all other farms*) Yes (give details) No N/A

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.17. Has the addition of N fertiliser, including chemical and all organic manures, by spreading and by animals themselves, to land other than grassland, exceeded crop requirement? Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

NITROGEN LIVESTOCK MANURE LIMITS

3.5.18. In 2007 for farms with > 70% grassland, has the total nitrogen from grazing livestock (cattle, sheep, goats and deer) applied to the agricultural area, by land application and by livestock, exceeded 250 kg N/ha/yr? AND/OR Has total nitrogen in non-grazing livestock applied to the agricultural area by land application and by livestock, exceeded 170 kg N/ha/yr? Yes (give details) No N/A

a. Intention: Intentional Negligence

- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

3.5.19. For farms with an approved derogation in 2008 or 2009 or 2010: has the total nitrogen from grazing livestock (cattle, sheep, goats, deer and horses) applied to the agricultural area, by land application and by livestock, exceeded 250 kg N/ha/yr? AND/OR Has total nitrogen in non-grazing livestock applied to the agricultural area by land application and by livestock, exceeded 170 kg N/ha/yr?

Yes (give details) **No** **N/A**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

3.5.20. For all other farms: has the total nitrogen in livestock manure applied to the agricultural area (by land application and by livestock) exceeded 170 kg N/ha/yr?

Yes (give details) **No** **N/A**

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

LIVESTOCK MANURE STORAGE REQUIREMENTS

3.5.21. Is there insufficient livestock manure storage capacity to comply with Regulations?
NB the farmer has until 1 Jan 2009 to put in place the required storage

Yes (give details) No N/A

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.22. Is there water pollution due to insufficient livestock manure storage capacity?

Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.23. Are livestock manure and silage effluent storage facilities not compliant with SSAFO Regulations or poorly maintained such that seepage or run-off directly or indirectly reaches a waterway or water contained in underground strata? Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.24. Is farmyard manure and/or poultry litter stored in a midden with inadequate collection facilities prior to field storage? **Yes (give details)** **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Minimum
- e. Repeat (if known):** Yes No
- f. Follow-up required** Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.25. Has farmyard manure [and/or poultry litter] been stored in a field for longer than 180 days, and/or in the same location in consecutive years, and/or in quantities greater than would be applied to that field? **Yes (give details)** **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Minimum
- e. Repeat (if known):** Yes No
- f. Follow-up required** Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.26. Is farmyard manure [and/or poultry litter] stored within 20 m of any waterway; 50m of lakes; 50m of a borehole, spring or well; 250m of a borehole used for a public water supply; 50m of exposed cavernous or karstified limestone features and/or not in a compact heap? **Yes (give details)** **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Minimum
- e. Repeat (if known):** Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.27. Has poultry litter not been covered with an impermeable membrane within 24 hours of placement in a field? Yes (give details) No N/A

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.27(a). Has poultry litter been stored in a field? Yes (give details) No N/A

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.28. Is there insufficient storage for dirty water for those periods when weather and ground conditions are unsuitable for land application? Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

LAND MANAGEMENT

3.5.29. Is there evidence that land has been left in an inappropriate condition after harvesting particular crops? (see guidance for inspectors) **Yes (give details)** **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium Minimum
- e. Repeat (if known):** Yes No
- f. Follow-up required** Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.30. Is there evidence of inappropriate crop management practices? **Yes (give details)** **No**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** Medium Minimum
- e. Repeat (if known):** Yes No
- f. Follow-up required** Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.31. In addition to the above, on derogated farms in 2008 or 2009 or 2010 is there evidence of inappropriate crop management practices? (see guidance for inspectors) **Yes (give details)** **No** **N/A**

- a. Intention:** Intentional Negligence
- b. Extent:** Off-farm On-farm
- c. Permanence:** Permanent Rectifiable
- d. Severity:** High Medium

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

RECORD KEEPING

3.5.32. Has the controller failed to keep the annual records required by all farms?

Yes (give details) No

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.33. On derogated farms in 2008 or 2009 or 2010, has the controller failed to prepare, make available and keep up-to-date a Fertilisation Plan that meets the requirements of the derogation by 9 June 2008 for 2008 and 1 March for 2009 or 2010?

Yes (give details) No N/A

a. Intention: Intentional Negligence

b. Extent: Off-farm On-farm

c. Permanence: Permanent Rectifiable

d. Severity: High Medium Minimum

e. Repeat (if known): Yes No

f. Follow-up required Yes No

g. If applicable, date that breach is to be rectified by: _____

h. Where applicable: Grid Ref: _____ Farm Survey No: _____ Field No: _____

Detail any breach at Annex 1

3.5.34. On derogated farms in 2008 or 2009 or 2010, has the controller failed to prepare and submit a Fertilisation Account that meets the requirements of the derogation by 1 March for the previous calendar year?

Yes (give details) No N/A

a. Intention: Intentional Negligence

- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

PHOSPHORUS BALANCE

3.5.35. On derogated farms in 2008 or 2009 or 2010, has the controller exceeded a surplus of 10kg phosphorus /ha/yr on the holding? Yes (give details) No N/A

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium Minimum
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

COMPLIANCE WITH TERMS OF NOTICE SERVED

3.5.36. Has there been a failure to comply with the terms of a notice served under the Regulations? Yes (give details) No N/A

- a. **Intention:** Intentional Negligence
- b. **Extent:** Off-farm On-farm
- c. **Permanence:** Permanent Rectifiable
- d. **Severity:** High Medium
- e. **Repeat (if known):** Yes No
- f. **Follow-up required** Yes No
- g. **If applicable, date that breach is to be rectified by:** _____
- h. **Where applicable:** Grid Ref: _____ Farm Survey No: _____ Field No: _____
- Detail any breach at Annex 1*

Section 4 – SUSPECTED BREACH OF OTHER CROSS-COMPLIANCE STANDARDS

4.1 NIEA use only Is there evidence of a possible breach of any other SMR or GAEC requirement or a possible failure to comply with the environmental protection legislation listed in Annex 4 of the guidance? Yes
 No

If yes, also complete a Suspected Breach Report form (CC3)

Details of suspected breach (inc. scheme yr of occurrence if different from date of finding):

Section 5 – FARMER’S / AGENT’S COMMENTS ON INSPECTION REPORT

- 5.1. I confirm that I have been given an opportunity to see this report and comment below* / do not wish to comment* (*Delete as applicable).
- 5.2. I am content with the bio-security precautions used by the inspectors.
- 5.3. I am aware that photographic evidence of the visit has been recorded.
- 5.4. I am aware that I may be re-inspected for audit purposes.

Client/Agent signature _____ Date _____

Name (in BLOCK LETTERS) _____ Status _____

NIEA inspecting officers

Name (in BLOCK LETTERS) _____ Status _____

Name (in BLOCK LETTERS) _____ Status _____

Name (in BLOCK LETTERS) _____ Status _____

If the client did not sign, state reason(s)

Section 6 – NIEA INSPECTING OFFICERS SIGNATURE

6.1. Signature of lead officer performing Inspection of SMRs 1, 2 (to all land standard) 3,4 & 5

Signature _____ Grade _____ Date _____

Name (in BLOCK LETTERS) _____ Status _____

6.2. Signature of lead officer performing Inspection of SMRs 1 & 2 to Natura 2000 standard

Signature _____ Grade _____ Date _____

Name (in BLOCK LETTERS) _____ Status _____

Section 7 – DESK CHECKS**NO BREACHES RECORDED - WMU Scientist Check**

7.1. I confirm this completed inspection report has been checked for SMRs 1, 2, 3, 4 & 5

Scientist Signature _____ Date _____

Name (in BLOCK LETTERS) _____ Grade (HSO or above) _____

SMRs 1 & 2 BREACHES RECORDED - NH Scientist Check

7.2. I confirm this completed inspection report has been checked for SMRs 1 & 2

7.3. Any advisory letter regarding breach issues and a copy of the report have been issued to the farmer **(by NH)**

7.4. A copy of the maps, top-sheet, any advisory letter regarding breach issues and report have been filed **(by NH)**

7.5. The original report has been returned to WMU.

Scientist Signature _____ Date _____

Name (in BLOCK LETTERS) _____ Grade (SSO or above) _____

SMRs 3, 4 & 5 BREACHES RECORDED - WMU Scientist Check

7.6. I confirm this completed inspection report has been checked for SMRs 3, 4 & 5 (and SMRs 1 & 2 if no breaches recorded for them)

Scientist Signature _____ Date _____

Name (in BLOCK LETTERS) _____ Grade (SSO or above) _____

ALL FORMS - WMU Admin Check

7.7. Maps and top-sheet have been filed.

7.8. Any letters for non-breach or breach issues have been issued to farmer and a copy filed.

7.9. Two copies of any report with a breach recorded have been made. One has been filed and one issued with the advisory letter.

7.10. A HSO/SSO not involved in the inspection has checked and signed the report.

7.11. The original report is ready to send to DARD.

7.12. The WMU database has been updated.

Admin Signature _____ Date _____

Name (in BLOCK LETTERS) _____ Grade (AO or above) _____

Once all scientific and administration procedures have been completed this form should be forwarded to:

DARD SFP Unit, Orchard House, 40 Foyle Street, Derry, BT48 6AT

APPENDIX 1

List 1 substances:

- Organohalogen compounds or substances which could cause such compounds
- Organophosphorus compounds
- Organotin compounds
- Substances which possess carcinogenic mutagenic or teratogenic properties in or via the aquatic environment
- Mercury and its compounds
- Cadmium and its compounds
- Mineral oils and hydrocarbons
- Cyanides

List 2 substances:

- Zinc
- Copper
- Nickel
- Chrome
- Lead
- Arsenic
- Selenium
- Antimony
- Molybdenum
- Titanium
- Biocides and their derivatives
- Substances which have a deleterious effect on the taste or odour of groundwater, and substances likely to cause this
- Toxic or persistent organic compounds of silicon, and substances likely to form them
- Inorganic compounds of phosphorus and elemental phosphorus
- Fluorides
- Ammonia and nitrites

APPENDIX 2**Sludge Table**

Sludge Table

(1) <i>Element</i>	(2) <i>Limit in kilograms per hectare per year</i>	(3) <i>Limit value of detection (milligrams per kilogram of dry matter)</i>
Zinc	15.0	50
Copper	7.5	25
Nickel	3.0	10
Cadmium	0.15	1
Lead	15.0	25
Mercury	0.1	0.1

Soil Table

Soil Table

(1) <i>Element</i>	(2) <i>Limit value of samples in milligrams per kilogram of dry matter According to pH or soil</i>			
	For pH 5.0 < 5.5	For pH 5.0 < 6.0	For pH 6.0-7.0	For pH > 7.0
Zinc	200	250	300	450
Copper	80	100	135	200
Nickel	50	60	75	110
Lead	300	300	300	300
Cadmium	3	3	3	3
Mercury	1	1	1	1

